

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Wayne Lawrence Felts	Examiner:	
Serial No.:		Group Art Unit:	
Filed:	June 25, 2003	Docket No.:	STL11280
Title:	Transitioning From Startup Code To Application Code During Initialization Of A Processor Based Device		

Mail Stop Patent Application Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450	
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INFORMATION DISCLOSURE STATEMENT

Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

Section 3. Remarks or Additional Statements

- ☒ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☐ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
1. a final action under section 1.113; or
 2. a notice of allowance under section 1.311
whichever occurs first.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; *or*
- ☐ No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.

- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).
- ☐ 37 C.F.R. §1.97(e) Choose either Statement:
- ☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or
- ☐ No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

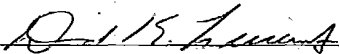
Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)

Date

6/26/03


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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	
Filing Date	06/25/2003
First Named Inventor	Wayne Lawrence Felts
Art Unit	
Examiner Name	
Attorney Docket Number	STL11280

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	BK	US- 5,870,363	02/09/1999	Sun et al.	
	BL	US- 5,929,577	07/27/1999	Neidorff et al.	
	BM	US- 5,963,706	10/05/1999	Baik	
	BN	US- 5,982,119	11/09/1999	Okada et al.	
	BO	US- 5,982,571	11/09/1999	Calfee et al.	
	BP	US- 5,998,946	12/07/1999	Kim	
	BQ	US- 6,078,158	06/20/2000	Heeren et al.	
	BR	US- 6,160,367	12/12/2000	Higashi et al.	
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FOREIGN PATENT DOCUMENTS

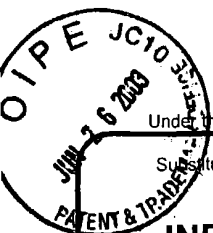
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Number-Kind Code ² (if known)			
	AA	US- 4,130,769	12/19/1978	Karube	
	AB	US- 4,169,990	10/02/1979	Lerdman	
	AC	US- 4,405,885	09/20/1983	Okamoto	
	AD	US- 4,531,079	07/23/1985	Muller	
	AE	US- 4,631,459	12/23/1986	Fujioka et al.	
	AF	US- 4,633,150	12/30/1986	Inaji et al.	
	AG	US- 4,712,050	12/08/1987	Nagasawa et al.	
	AH	US- 4,742,282	05/03/1988	Okutani et al.	
	AI	US- 4,748,386	05/31/1988	Nakanishi et al.	
	AJ	US- 4,888,533	12/19/1989	Gotoh et al.	
	AK	US- 4,896,807	01/30/1990	Mundschau	
	AL	US- 4,926,101	05/15/1990	Enomoto et al.	
	AM	US- 4,992,710	02/12/1991	Cassat	
	AN	US- 5,001,405	03/19/1991	Cassat	
	AO	US- 5,010,283	04/23/1991	Kitajima et al.	
	AP	US- 5,028,852	07/02/1991	Dunfield	
	AQ	US- 5,117,165	05/26/1992	Cassat et al.	
	AR	US- 5,159,246	10/27/1992	Ueki	

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First Named Inventor

Wayne Lawrence Felts

Art Unit

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		Number-Kind Code ² (if known)			
	AS	US- 5,166,583	11/24/1992	Min et al.	
	AT	US- 5,184,038	02/02/1993	Matsui et al.	
	AU	US- 5,189,574	02/23/1993	Imamura et al.	
	AV	US- 5,254,914	10/19/1993	Dunfield et al.	
	AW	US- 5,274,310	12/28/1993	Bahn	
	AX	US- 5,285,135	02/08/1994	Carobolante et al.	
	AY	US- 5,298,839	03/29/1994	Takeda	
	AZ	US- 5,334,917	08/02/1994	Lind	
	BA	US- 5,343,127	08/30/1994	Maiocchi	
	BB	US- 5,378,976	01/03/1995	Inaji et al.	
	BC	US- 5,397,972	03/14/1995	Maiocchi	
	BD	US- 5,428,276	06/27/1995	Carobolante et al.	
	BE	US- 5,541,488	07/30/1996	Bansal et al.	
	BF	US- 5,631,999	05/20/1997	Dinsmore	
	BG	US- 5,703,449	12/30/1997	Nagate et al.	
	BH	US- 5,777,448	07/07/1998	Matsui	
	BI	US- 5,780,983	07/14/1998	Shinkawa et al.	
	BJ	US- 5,841,252	11/24/1998	Dunfield	

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Title:	Transitioning From Startup Code To Application Code During Initialization Of A Processor Based Device		
Docket:	STL11280		

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified application,
REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners/patent agent are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

Shawn B. Dempster, Registration No. 34,321	Raghunath S. Minisandram, Registration No. 38,683
Derek J. Berger, Registration No. 45,401	Mitchell K. McCarthy, Registration No. 38,794
Kirk A. Cesari, Registration No. 47,479	Carol I. Bordas, Registration No. 37,284
Paul T. Dietz, Registration No. 38,858	Jennifer M. Buenzow, Registration No. 50,124
David K. Lucente, Registration No. 36,202	Joseph F. Villella, Jr., Registration No. 30,599
Jesus Del Castillo, Registration No. 51,604	Brendan Hanley, Registration No. 52,429

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STATEMENT UNDER 37 CFR 3.73(b)

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application/patent identified above. A copy of the Assignment is attached and/or was recorded in the Patent and Trademark Office at Reel , Frame . The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)

6/25/03
Date

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